

Questionnaire for Exclusion Requestors (Anniversary)

Instructions:

In order to submit a request for exclusion you should review the portion of the USTR web site dealing with exclusion requests at www.ustr.gov/sectors/industry/steel.shtml, closely examining all entries under the heading “Lists of Exclusions Granted March through August 2002,” to verify that your product has not already been excluded. If a product has already been excluded there is no reason for a new request to be filed (unless to seek change in a quantity limitation.)

If at any stage of this process you have a question, you are encouraged to e-mail Exclusion Support and ask for guidance. Answers to frequently-asked questions and additional instructions will be posted on the USTR web site and will be available from Exclusion Support; if you want these, send an e-mail to the Exclusion Support address with only the word “Guidelines” in the “Subject” line.

Your request will proceed in four stages: (1) requesting an A-number; (2) refining your product description; (3) submitting your questionnaire; (4) following up on your request.

Stage One: Requesting an A-number

You will simply need to send an e-mail to exclusion_support@ita.doc.gov to do so. Each submitting party will be assigned only one “whole number” A-number, no matter how many requests that submitting party is going to eventually send in to Exclusion Support. That party’s individual requests will then be differentiated using the “decimal point” numbers. Example: XYZSteelCo wants to request twenty-three products. It applies for an A-number and is assigned the alpha-numeric designator A501. XYZSteelCo’s twenty-three requests will be A501.01, A501.02, and so on through A501.23. You will receive more detailed instructions about assigning the decimal numbers to your individual requests when you receive your A-number assignment message. Apply for an A-number by sending an e-mail to Exclusion Support anytime after 23 September 2002 and before the

deadline for submission of exclusion requests expires. Parties are cautioned that waiting to apply until the deadline for submission will cause delay in processing requests. The “Subject” line of the e-mail must give the name of the requesting party (you may and are encouraged to abbreviate) and the words “A-number Request” as in the following example: <<<XYZSteelCo – A-number Request>>>. Please put nothing else there. You need not put anything in the text of the e-mail. Do NOT request an A-number more than once; be patient if you do not receive an immediate assignment. If no assignment is made after one week, contact Exclusion Support but do not formally re-request.

Even if there is more than one product for which you desire to request exclusion, you will only need to get ONE A-number assigned to you. As is set forth again below, though, you are reminded that you will have to repeat the questionnaire process for EACH individual product, answering a new questionnaire for each. **We will not consider any request addressing multiple products.**

Requesting parties are strongly discouraged from filing a request for a product they know to be the subject of another party’s filing. In particular, the situation in which both a foreign supplier and that supplier’s customer in this country file separate requests for the same product is a cause of much unnecessary lost time in the efficient administration of this process. Also, the situation in which several producers are joining together is problematic; it is preferable to either use a trade association name or to select one of the companies to be the primary requesting party. Parties are strongly encouraged to consolidate their requests; parties to whom this might apply ought to see Question 7 below and confer with each other before proceeding.

Stage Two: Refining Your Product Description

Your response to Q.10 of the questionnaire is crucial to your request – this is your product description. You are strongly encouraged to ask for help from Exclusion Support in making your product description acceptable. Your A-number assignment message will give you more instructions on how to get this help; the “Guidelines” will also be very useful in this respect. You ought to have a valid product description before attempting to fill out the rest of the questionnaire. Please ensure that descriptions of your product are in text form (no tables or charts,) with all units of measurement converted to metric equivalents. Please see the Annex to the President's Proclamation www.ustr.gov/sectors/industry/steel201/2002-03-05-annex.pdf for sample language of existing exclusions. The

annex also contains descriptions of the product categories (*e.g.*, Slabs). Your product description remains your responsibility – seeking assistance from Exclusion Support is entirely optional.

Stage Three: Submitting Your Questionnaire

Please do not attach a cover letter, cover sheet, or anything else to this questionnaire. After you have completed filling out your questionnaire, you are asked to delete all instructions from the words “**START HERE – DELETE THIS AND ALL ABOVE**,” including those words. The first line of your submission, other than the header which is further explained below, ought to be “**ANNIVERSARY EXCLUSION REQUEST**.” Do NOT delete the instructions which are found with every question in small font. Do NOT delete questions which you think are inapplicable to you; put “N/A” if that is the case. Err on the side of caution – please do not put “N/A” unless the question is truly irrelevant to your product request. **YOU MUST ANSWER ALL NUMBERED QUESTIONS**. Please ensure that all numbered questions and all appropriate sub-questions are answered. Failure to do so may result in your request being considered incomplete and inadequate, and it will not be further considered.

It is **VERY IMPORTANT** that you name and submit the document containing your questionnaire response properly. Follow these steps, regardless of alternative methods of which you may be aware:

- (1) Start the download the questionnaire from the USTR website in the usual manner.**
- (2) Click on “File” in your tool bar.**
- (3) Select “Save as”**
- (4) When the prompt box appears, name your document as in:**

A509.01-ARQR-h

Follow the detailed instructions in your A-number assignment message for numbering products. “ARQR” stands for “Anniversary Request Questionnaire Response.” The “h” is for the product category which you are to select from Q. 8 of the questionnaire; remember that each product request may have a different

category. The product categories are reproduced here for your convenience:

- (a) Slabs
- (b) Plate
- (c) Hot-Rolled Steel (flat products)
- (d) Cold-Rolled Steel (flat products)
- (e) Coated Steel (flat products)
- (f) Tin Mill Products
- (g) Rebar
- (h) Other Hot Bar
- (i) Cold Bar
- (j) Stainless Steel Bar
- (k) Stainless Steel Wire Rod
- (l) Stainless Steel Wire
- (m) Welded Pipe & Tube (other than OCTG)
- (n) Carbon/Alloy Fittings (including flanges)

Put a hyphen between each section; do NOT use slashes, semi-colons, colons, or any other symbol. For example, if you submit three exclusion requests you should name each document as follows:

A509.01-ARQR-h (*your first request being for a hot rolled bar product*)

A509.02-ARQR-d (*your second request being for a cold rolled flat product*)

A509.03-ARQR-c (*your third request being for a hot rolled flat product*)

If you are submitting **both** proprietary **and** public versions, you will put “Prop” or “Pub” at the end of the document name, in the following manner:

A509.01-ARQR-h-Prop

A509.01-ARQR-h-Pub

If you do not have a proprietary version, no such additional designator is necessary. You will also put this EXACT same identifier on the e-mail submission in the “Subject” line, discussed further below.

The file footer of the questionnaire which you have downloaded contains a field code which displays the file name of the ARQR. **You must not delete this field code because it is necessary for our system to quickly sort and identify your questionnaire.** (If you have an unexpected message pop up in the space for your header mentioning “Pathname,” “Filename,” etc., you can ignore this – it comes from the coded settings on your computer; the correct name you typed in when prompted “Save as” will be on the document.)

5) Click “Save” in the prompt box to save your document to your hard drive.

Saving it by this name will automatically put a “header” on every page of your questionnaire which shows the document title. Do NOT put any other headers, such as law firm name, different title, author, etc., on your downloaded questionnaire. You can fill the rest of the questionnaire out when you need to do so.

Product descriptions **must** be public information; any Q.10 product description containing bracketed business proprietary information will be rejected at the time of filing. We discourage reliance upon business proprietary information in the questionnaire, as this greatly complicates the transparency of the review process. If you cannot avoid making certain responses business proprietary, you are to bracket those portions. You must file a public version of the questionnaire which contains a summary of the bracketed information in sufficient detail to permit a reasonable understanding of the substance of the information. Numerical data will be considered adequately summarized if presented in ranges within ten percent of the actual figure. Please respond to the questions **in the space provided** and ensure that your response addresses the question which was asked. You will be given a limited opportunity to amplify responses in the “Addendum” Section at the end of the questionnaire. The Addendum Section is to be used **only** to give more complete answers to questions within the questionnaire. You are neither required nor encouraged to make use of the Addendum Section. If you find it necessary to make use of this section, please ensure that each entry commences with a reference to the question number and subheading to which you are adding information. PLEASE BE BRIEF.

(6) When finished, print out your questionnaire and submit the appropriate number of copies.

In order to place a request on the record you will be required to submit four paper copies of each questionnaire response to the Department of Commerce Central Records Unit (B099) via Room 1870. There is no need to send a diskette. If you are submitting business proprietary versions, you must submit four paper copies but need only submit two paper copies of the public version. If you are submitting only public versions you must submit four copies. Hand-carried submissions must be brought to Room 1870. The mailing of submissions is generally discouraged. Distant parties not locally represented are encouraged to submit via U.S. Mail or commercial express delivery *well in advance*; otherwise it is best to make use

make use of courier services available in Washington for hand delivery. Parties are encouraged to submit one extra copy to serve as a “stamp and return” receipt. Submissions must be delivered to:

U.S. DEPARTMENT of COMMERCE
(Steel Section 201 Submissions)
CRU HCHB-B-099 (via Room1870)
14th St. & Pennsylvania Ave., NW
Washington, DC 20230

Mailed submissions are subject to the same deadline as all other submissions. They must be RECEIVED at Room 1870 by 5:00 P.M. on the deadline day. THE DAY IT IS MAILED IS IRRELEVANT.

(7) Attach the finished document to an e-mail.

You are also required to send an electronic copy of each questionnaire to the U.S. Trade Representative at FR0001@ustr.gov and to the Department of Commerce at exclusion_support@ita.doc.gov. Please note that a separate e-mail submission must be made for EACH product for which you seek an exclusion; do NOT combine multiple requests on one e-mail. The only time there ought to be more than one attachment to an e-mail is when there is a proprietary and a public version of the SAME product’s questionnaire responses. When you transmit your questionnaire response by e-mail, attach the questionnaire response without changing the name of the document, using the file name established in Step (4) above. The “Subject” line of the e-mail should read EXACTLY as the name of the document, as in:

A509.01-ARQR-h

If you have proprietary and public versions, you are to attach them BOTH to the same e-mail; do NOT, however, put either “Prop” or “Pub” in the “Subject” line. You need put nothing in the text field of the e-mail. Your submission should be in the form of a text file in WordPerfect or Microsoft Word format which is attached to your e-mail. Submissions in other word processing formats, portable document files (“.pdf”) or other file transfer protocol formats will NOT be accepted for consideration. We will reject any submission not following the protocol for the “Subject” line of the e-mail.

Any request for exclusion must be submitted, in the format and manner set forth in

this letter, no later than **XX NOVEMBER 2002** .

Failure to file your request in the manner and format specified herein will affect the treatment afforded your request and could result in the rejection of your request.

Stage Four: Following Up On Your Request

If you are unsure about something or have questions about your filing, communicate with Exclusion Support. Products will be assigned that alpha-numeric designator which from that point on should be referenced by all parties. All communications must identify their content by one of the following content designators:

Question

Addendum

SuppInfo (this stands for “supplemental information”)

For example, if this submitting party wanted to ask a question after having been assigned an alpha-numeric designator this submitting party would put:

A509.01-Question-h

in the “Subject” line of the e-mail. Do not ever put anything other than “ARQR,” “Question,” “Addendum,” or “SuppInfo” in the “Subject” line’s content identifier. You may ask a question anytime. You are to submit an addendum or supplemental information ***only when specifically requested to do so by us*** and in accordance with the instructions you are given when you are requested to do so. Whenever you do any of the three, you must use your A-number product designator, the content designator, and the product category designator, each separated by a hyphen. Please understand that Exclusion Support has processed a very large volume of e-mail messages between April and September. The Anniversary round will generate many more. It is not possible to retrieve your submissions in a timely manner unless the “Subject” line of the e-mail is done exactly according to the instructions. The product category designator is in the “Subject” line in order to allow us to quickly route your submission to the proper member of the Exclusion Support team, each of whom handles discreet product categories. Smooth functioning of this system is in your interest; your cooperation and attention to detail are appreciated.

After your request has been received in acceptable form, it will be quickly reviewed for minimal sufficiency with regard to substance. In particular – but not exclusively – your response to Q. 10 concerning product description will be scrutinized. If your request has been found deficient, you will be so notified and be given one opportunity to correct your deficiency. Once a submission is sufficient, your request will be made available for public comment by being listed on the USTR website as one of a “batch” or “tranche” of requests. Soon thereafter one of the paper copies of your questionnaire will be posted for public viewing in the Central Records Unit in Room B-099 of the Commerce Department. Then those interested domestic producers who might oppose your exclusion request will be required to submit a questionnaire of their own before a specified deadline in order to have their objections considered further. You may download the blank opposition questionnaire from the USTR web site in order to familiarize yourself with the questions they are being asked. After the deadline for the submission of objections pertaining to your request’s “tranche” or “batch,” the opposition questionnaire responses will also be placed in Room B-099 for public viewing in the same A-number file as your questionnaire. If you are remote from the city and not represented by counsel here, send a question to Exclusion Support concerning obtaining a copy of a questionnaire opposing your request.

After the Exclusion Support team has had an opportunity to analyze both the requester and objector questionnaires, you and the objector may be asked to submit an addendum or supplemental information; neither you nor the objector is to do so unless solicited by the Exclusion Support team. When all analysis is complete, postings on the USTR web site will tell you if your product exclusion request has been granted.

READ THE INSTRUCTIONS WHICH ARE GIVEN WITH EACH AND EVERY QUESTION BELOW WITH CARE BEFORE YOU BEGIN ANSWERING. ENSURE YOU REVIEW THESE INSTRUCTIONS AND ADDRESS EACH POINT OF EACH SPECIFIC QUESTION.

Each request will be considered on a case-by-case basis.

START HERE – DELETE THIS AND ALL ABOVE

ANNIVERSARY EXCLUSION REQUEST

Questions:

(1) MY NAME IS:

{Please put your name here. Ordinarily you ought to be the same person as listed in Q. 27. Put nothing else in this space.}

(2) MY FIRM IS:

{Put your firm's name here. If you are not an attorney representing a party requesting an exclusion but are an employee of the actual party, enter the word "employee" here. If you are a company claiming to "represent" another company, stop and contact Exclusion Support. Put nothing else in this space.}

(3) I REPRESENT:

{Give the name of the primary requesting party which you represent or of which you are an employee. You may use summary titles of parties such as "Atlantis Tool Steel Producers Coalition," "Antarctic Alloy Flange Association," etc., if you represent the party under that name. If you are representing several requesters, see Q. 7; it is best to name only one as requesting party here in this question or to use a trade association name. Put nothing else in this space.}

(4) I HAVE CHECKED THE PORTION OF THE USTR WEB SITE DEALING WITH EXCLUSION REQUESTS AT www.ustr.gov/sectors/industry/steel.shtml, CLOSELY EXAMINING ALL ENTRIES UNDER THE HEADING "LISTS OF EXCLUSIONS GRANTED MARCH THROUGH AUGUST 2002," TO VERIFY THAT MY PRODUCT HAS NOT ALREADY BEEN REQUESTED {Check one:}

(a) _____ Yes, I have checked and it has not already been requested

{Note that a previous request for a product more broadly defined than yours which wholly encompasses the description of your products means that your product has already been requested. Put nothing else in this space.}

(b) _____ Yes, I have checked and it has been previously requested by another party

{Note that a previous request for a product more broadly defined than yours which wholly encompasses the description of your products means that your product has already been requested. You need not request it again; excluded products are simply not subject to the remedy tariff, regardless of who requested them. Quantitative limitations on exclusions (also known as "tonnage caps" are not specific to the requesting party. If you checked this block, you need not submit a questionnaire UNLESS you are ONLY seeking a quantitative limitation increase. Check the block under (i) below if you are ONLY seeking a quantitative limitation increase.}

(i) _____ I am ONLY seeking a quantitative limitation increase

{Check this block if you are ONLY seeking a quantitative limitation increase. Put nothing else in this space.}

(c) _____ Yes, I have checked and it has been previously requested by us

{If you are requesting a product again, you must show a material change in circumstances which will justify further analysis. Such a material change of circumstances would encompass – but is not necessarily limited to – the following: (1) You have contacted the parties who claimed ability to make this product domestically subsequent to 31 August 2002 and they have since declined to supply you; (2) You have contacted the parties who claimed ability to make this product domestically subsequent to 31 August 2002 and they have been unable to meet the stated specifications; (3) You have contacted the parties who claimed ability to make this product domestically subsequent to 31 August 2002 and their product has failed a qualification. }

(i) What is your material change of circumstance? {Check one:}

- (A) _____ We have contacted the parties who claimed ability to make this product domestically subsequent to 31 August 2002 and they have since declined to supply us
- (B) _____ We have contacted the parties who claimed ability to make this product domestically subsequent to 31 August 2002 and they have been unable to meet the stated specifications
- (C) _____ We have contacted the parties who claimed ability to make this product domestically subsequent to 31 August 2002 and their product has failed a qualification
- (D) _____ We have contacted the parties who claimed ability to make this product domestically subsequent to 31 August 2002 and there is the following material change of circumstances:

{Briefly state your material change of circumstances. **Ensure that your answers correspond to the answers to Q. 25 below.** Put nothing else in this space.}

(5) WE ARE {Check one}:

_____ (a) The consumer of this product located in the U.S.

(i) The state in which we are primarily located is:

{Please give the state in which your company is primarily located. Put nothing else in this space.}

_____ (b) The foreign producer of this product

{Note: If you are a foreign producer of this product, you will be required to provide information from

your domestic consumers. YOU are responsible for any inaccuracies in this information.}

(i) The country in which this product is produced is:

{Please give the common English language name of the country in which your company is primarily located. Put nothing else in this space.}

(6) PLEASE PROVIDE A UNIQUE ONE-LINE DESCRIPTION OF THE PRODUCT FOR WHICH YOU ARE REQUESTING EXCLUSION, ENSURING THAT THERE IS AT LEAST ONE SPECIFICATION WHICH DISTINGUISHES THIS PRODUCT FROM SIMILAR PRODUCTS FOR WHICH YOU MIGHT BE SUBMITTING OTHER QUESTIONNAIRES:

{Please provide a descriptive title. Give, for example, "In-line temper-passed and tension-leveled hot-rolled pickled and oiled alloy sheets, grade AISI 999." You may use names, including proprietary names, by which the product is commonly known in the industry. If you are submitting other questionnaires for similar products you must provide a distinguishing characteristic such as grade, size, strength, etc., so that this one-line description can be readily identified as different from your other one-line descriptions. In other words, if you are submitting multiple questionnaires, ensure that no two one-line descriptions are the same. DO NOT give detailed and lengthy physical, chemical, or mechanical specifications; provide *only a title* which will enable identification of the product. Put nothing else in this space.}

(7) ARE THERE COMPANIES OTHER THAN THE PRIMARY REQUESTING PARTY LISTED IN QUESTION (4) WHO WISH TO JOIN IN THIS REQUEST AS PARTIES OR AS SUPPORTERS? {Check all that apply:}

(a) _____ No

(b) _____ Yes, the following wish to join us as requesting parties:

{Put the names of the additional companies who wish to join as parties. Contact Exclusion Support if you have questions.}

(c) _____ Yes, the following wish to be on the record as companies who support this exclusion request:

{Put the names of the additional companies who wish to be on the record as companies who support this exclusion request. Contact Exclusion Support if you have questions.}

(8) THE PRODUCT CATEGORY INTO WHICH THIS PRODUCT FALLS IS

{Check ONLY ONE}:

- _____ (a) Slabs
- _____ (b) Plate
- _____ (c) Hot-Rolled Steel (flat products)
- _____ (d) Cold-Rolled Steel (flat products)
- _____ (e) Coated Steel (flat products)
- _____ (f) Tin Mill Products
- _____ (g) Rebar
- _____ (h) Other Hot Bar
- _____ (i) Cold Bar
- _____ (j) Stainless Steel Bar
- _____ (k) Stainless Steel Wire Rod
- _____ (l) Stainless Steel Wire
- _____ (m) Welded Pipe & Tube (other than OCTG)
- _____ (n) Carbon/Alloy Fittings (including flanges)

(9) PROVIDE THE APPROPRIATE HARMONIZED TARIFF SCHEDULE DESIGNATIONS UNDER WHICH THIS PRODUCT IS CLASSIFIED:

{Please put only the HTSUS numbers here, lowest-to-highest. Use the full, ten-digit number for each entry. Ensure that all HTSUS numbers fall within the product category you selected in response to Q. 8. Put nothing else in this space.}

(10) PROVIDE A DETAILED DESCRIPTION OF THE PRODUCT IN A MANNER APPROPRIATE FOR INCLUSION IN THE HARMONIZED TARIFF SCHEDULE OF THE UNITED STATES AND FOR USE IN ENFORCEMENT BY THE U.S. CUSTOMS SERVICE, AS IN THE FOLLOWING EXAMPLE:

Blue finish band saw steel meeting the following characteristics: thickness not greater than 1.31 mm; width not greater than to 80 mm; chemical composition: carbon content of 1.2 to 1.3 percent, by weight; silicon content of 0.15 to 0.35 percent, by weight; manganese content of 0.20 to 0.35 percent, by weight; phosphorus content not greater than 0.03 percent, by weight; sulphur content not greater than 0.007 percent, by weight; chromium content of 0.30 to 0.5 percent, by weight; and nickel content not greater than 0.25 percent, by weight; with the following other properties: carbides fully spheroidized, having greater than 80 percent of carbides, which are not greater than to 0.003 mm and uniformly dispersed; surface finish is blue finish free from pits, scratches, rust, cracks, or seams; smooth edges; edge camber (in each 300 mm of length) of not greater than 7 mm arc height; and cross bow (per mm of width) of not greater than 0.015 mm.

REVIEW THE EXAMPLE BEFORE PROVIDING YOUR DESCRIPTION.

It is strongly recommended that you contact Exclusion Support before attempting to submit a long product description.

[illegible]

{Please put your narrative product description here. Put nothing else in this space.}

(11) LIST THE USES TO WHICH YOU OR YOUR CONSUMER *{OR YOUR CUSTOMER'S CONSUMER, IF YOU ARE A FOREIGN SUPPLIER}* PUT THIS PRODUCT:

{Customer}

{Use}

{List customers if you are a foreign producer; put your own company's name if you are a domestic consumer. Please list uses with specificity, but BRIEFLY. Put nothing else in this space.}

(12) IF YOU ARE A CONSUMER OF THE PRODUCT, PLEASE LIST YOUR CURRENT FOREIGN SUPPLIERS, THE QUANTITY AND VALUE OF YOUR CONSUMPTION FROM EACH, THE MONTH WHEN YOU STARTED PURCHASING FROM THEM, AND THE COUNTRY FROM WHICH THEY ARE EXPORTING THE PRODUCT; IF YOU ARE A FOREIGN PRODUCER OF THE PRODUCT, PLEASE LIST ONLY YOURSELF, PROVIDING THE THE QUANTITY AND VALUE OF YOUR EXPORTS OF THIS PRODUCT, THE MONTH WHEN YOU STARTED SELLING THIS PRODUCT IN THE U.S. TO ANY CONSUMER, AND THE COUNTRY IN WHICH YOU PRODUCE THIS PRODUCT:

{Supplier}

{Quantity}

{Value}

{Month}

{Country}

{Please list the foreign suppliers for this product, one per line. *Please list only yourself if you are a foreign supplier.* Express tonnage in metric tons. Express value in U.S. dollars. Enter month as "mo/year," as in "07/1776." Please use common English language name for country where the product is being made. Put nothing else in this space.}

(13) THE AMOUNT OF THE TONNAGE AND THE VALUE OF THIS PRODUCT FROM ALL SOURCES YOU CONSUMED *{OR EXPORTED TO THE U.S., IF YOU ARE A FOREIGN SUPPLIER}* IN THE YEAR 2001 WAS:

(a) _____ metric tons

{Provide the exact tonnage in metric tons. Put nothing else in this space.}

- (i) The amount of the tonnage of this product from offshore sources
you consumed in the year 2001 was: _____ m.t.

{Provide the exact tonnage in metric tons. Foreign producers put N/A. Put nothing else
in this space.}

- (b) The value from all sources was: \$ _____

{Provide the value in U.S. dollars. Put nothing else in this space.}

- (i) The value from offshore sources was: \$ _____

{Provide the value in U.S. dollars. Foreign producers put N/A. Put nothing else in this space.}

(14) THE AMOUNT OF THE TONNAGE AND THE VALUE OF THIS
PRODUCT FROM ALL SOURCES YOU ANTICIPATE CONSUMING {OR
EXPORTED TO THE U.S., IF YOU ARE A FOREIGN SUPPLIER} IN THE
YEAR 2003 IS:

- (a) _____ metric tons

{Provide the closest possible estimate of the tonnage in metric tons. Put nothing else in this space.}

- (i) The amount of the tonnage of this product from offshore sources
you anticipate consuming in the year 2003 is: _____ m.t.

{Provide the closest possible estimate of the tonnage in metric tons. Foreign producers
put N/A. Put nothing else in this space.}

(15) STATE THE REASON FOR THE INCREASE OR DECREASE IN THE
TONNAGE BETWEEN THE YEARS 2001 AND 2003:

{State the reason BRIEFLY and within the space provided. For example, give information about new product lines,
redesigned parts, increased demand due to defined special circumstances, etc. Put nothing else in this space.}

(16) STATE THE AMOUNT OF THIS PRODUCT WHICH IS CURRENTLY
RETAINED:

- (a) By you:

- (i) _____ metric tons

{Please provide the exact tonnage in metric tons. Put nothing else in this space.}

- (b) By service centers in the U.S. which you can access:

- (i) _____ metric tons

{Please provide the exact tonnage in metric tons. Put nothing else in this space.}

- (c) By importers in the U.S. which you can access:

- (i) _____ metric tons

{Please provide the exact tonnage in metric tons. Put nothing else in this space.}

- (d) By other suppliers in the U.S. which you can access:

- (i) _____ metric tons

{Please provide the exact tonnage in metric tons. Put nothing else in this space.}

(ii) Please specify the other suppliers:

(17) IS THE PRODUCT FOR WHICH YOU ARE REQUESTING EXCLUSION AVAILABLE FROM UNITED STATES SOURCES?

{Select from these responses the THREE which are most applicable to you. Below the choices list the letters corresponding to your choices in the order of their importance:}

- (a) Yes, but we *{or your customers, if you are a foreign supplier}* want to have at least two sources for the product
- (b) Yes, but we have supply commitments with current suppliers *{or customers, if you are a foreign supplier}*
- (c) No, because of a lack of a specific type of production equipment in the U.S. mills, or because the product is outside the dimensional or chemical parameters of the U.S. mills. *{Please explain in the addendum section if you select this response.}*
- (d) No, because there is a lack of necessary feedstock for the U.S. mills to produce the product
- (e) No, because our *{or your customer's, if you are a foreign supplier}* orders are for insufficient tonnage to entice production by the U.S. mills
- (f) No, because the U.S. mills have supply commitments with current customers
- (g) No, because our customers *{or the consumers served by your customers, if you are a foreign supplier}* have specified that only certain foreign producers are qualified to provide the steel used to manufacture a refined product to meet their needs
- (h) No, because our *{or your customer's, if you are a foreign supplier}* qualification process has determined that only certain foreign producers can be used *{Note: If you selected this response you must respond to Question 18 below.}*
- (j) No, because there are these other reasons why:
{This entry is purposely designated "j" and not "i"}

{Please specify BRIEFLY the other reasons why the product is not available. Put nothing else in this space.}

THE MOST IMPORTANT REASON IS: _____

{Enter ONLY THE LETTER corresponding to the most important of your three choices from the list above. Put nothing else in this space.}

THE SECONDARY REASON IS: _____

{Enter ONLY THE LETTER corresponding to the secondary choice from the list above. Put nothing else in this space.}

THE TERTIARY REASON IS: _____

{Enter ONLY THE LETTER corresponding to the tertiary choice from the list above. Put nothing else in this space.}

(18) IS THE PRODUCT FOR WHICH YOU ARE REQUESTING EXCLUSION
SUBJECT TO QUALIFICATION?

(a) _____ No

(b) _____ Yes

(i) What qualification requirements do you *{or your customers, if you are a foreign supplier}* have on the requested product?

{Requirement}

{Reason}

{State BRIEFLY what these qualification requirements are and BRIEFLY why they are imposed. Put nothing else in this space.}

(ii) How long would it take you *{or your customers, if you are a foreign supplier}* to qualify a new supplier?

_____ months

{Enter answer in months, number may be greater than 12. Put nothing else in this space.}

(iii) What are the required testing steps and the time required for each step?

{Testing Step}

{Time Required}

{Please list BRIEFLY the qualification steps and state in days how long each takes. Time may be reasonably estimated and based upon experience. Put nothing else in this space.}

(iv) List any of the steps in (iii) {above} through which your foreign suppliers *{or you, if you are a foreign supplier}* did NOT have to go:

{Testing Step}

{Reason Not Required}

{Please list BRIEFLY the skipped steps and state BRIEFLY the reason that step was not required. Put nothing else in this space.}

(v) Please list exceptions to the specifications which were required by your foreign suppliers *{or by you, if you are a foreign supplier}*:

{Supplier}

{Specification Exception}

{Reason Exception Required}

{Please list the supplier, list BRIEFLY the excepted specifications for that supplier, and state BRIEFLY the reason that exception was required. Put nothing else in this space.}

(vi) Did you *{or your customers, if you are a foreign supplier}* develop the specification you *{or your customers, if you*

are a foreign supplier} currently use in conjunction with the production capabilities of your foreign suppliers *{or you, if you are a foreign supplier}*? {Check one}:

(A) _____ No

(B) _____ Yes

(I) If “Yes,” which specifications were changed to fit the capability limitations of your foreign supplier *{or you, if you are a foreign supplier}*?

{Specification}

{Limitation}

{Please list BRIEFLY the changed specification and state BRIEFLY the capability limitation which necessitated that change. Put nothing else in this space.}

(vii) What prompted qualification of these particular suppliers *{or you, if you are a foreign supplier}*? {Check one}:

(A) _____ Other products supplied by them *{or you, if you are a foreign supplier}*

(B) _____ Affiliation

(C) _____ Inability of all other producers world-wide to make the product to specifications

(D) _____ Other

(I) If “other,” please state reasons:

{Supplier}

{Reason}

{Please list the suppliers. State BRIEFLY the reason why this particular supplier was qualified. Put nothing else in this space.}

(viii) When you qualified your foreign suppliers *{or when you*

were qualified, if you are a foreign supplier}, did you {or did your customer, if you are a foreign supplier} also make efforts to qualify domestic firms? {Check one}:

(A) ____ No

(B) ____ Yes

(I) If “Yes,” list domestic firms, approximate dates, and results of qualification attempts:

{Firm}

{From}

{To}

{Results}

in {State firm name. Please give dates by entering month as “mo/yr,” as “07/1776.” State results with specificity but BRIEFLY. Put nothing else in this space.}

(19) PLEASE LIST SUBSTITUTE PRODUCTS WHICH YOU {OR YOUR CUSTOMER, IF YOU ARE A FOREIGN SUPPLIER} HAVE TRIED TO USE IN THE PAST IN ORDER TO MEET THE NEEDS OF THIS PRODUCT, THE SUPPLIERS, AND THE RESULTS FOR EACH PRODUCT.

{Substitute Product}

{Supplier}

{Results}

{Please list the tried substitute products, the suppliers, and state BRIEFLY the results for each. Put nothing else in this space.}

(20) HAVE YOU {OR YOUR CUSTOMER, IF YOU ARE A FOREIGN SUPPLIER} TRIED TO BUY THE REQUESTED PRODUCT FROM DOMESTIC FIRMS? {Check one}:

(a) ____ No

(b) ____ Yes

(i) Please list the domestic firms whom you have {or your customer, if you are a foreign supplier} contacted seeking this specific

product, the dates of these contacts, and the responses of each:

{Firm}

{Date}

{Response}

{Please list the firms by name. Give dates in the form: "mo/da/yr" as in "07/04/1776." Go from most recent backward in time. Give responses with specificity, but BRIEFLY. Put nothing else in this space.}

(21) HAVE YOU *{OR YOUR CUSTOMER, IF YOU ARE A FOREIGN SUPPLIER}* EVER ACTUALLY BOUGHT THE REQUESTED PRODUCT FROM DOMESTIC FIRMS?

(a)___ No

(b)___ Yes

(i) When did you *{or your customer, if you are a foreign supplier}* last purchase this product from a domestic firm?

___ / ___

{Please give dates in the form: "mo/yr" as in "07/1776." Put nothing else in this space.}

(ii) When did you *{or your customer, if you are a foreign supplier}* first purchase this product from a domestic firm?

___ / ___

{Please give dates in the form: "mo/yr" as in "07/1776." Put nothing else in this space.}

(iii) Please list domestic firms who have supplied you *{or your customer, if you are a foreign supplier}* with the product and the pertinent dates:

{Domestic Firm}

{From}

{To}

{Quantity}

{Please list the firms by name. Give dates in the form: "mo/da/yr" as in "07/04/1776." Give quantities in metric tons. Put nothing else in this space.}

(iv) For each domestic firm state the reason why you *{or your customer, if you are a foreign supplier}* ceased obtaining this product from them:

{Domestic Firm}

{Reason}

{Please list the firms by name. Give reasons with specificity, but BRIEFLY. Put nothing else in this space.}

(22) WHAT PERCENTAGE OF YOUR *{OR YOUR CUSTOMER'S, IF YOU ARE A FOREIGN SUPPLIER}* TOTAL STEEL NEEDS ARE MET BY DOMESTIC MILLS?

_____ %

{Please round to nearest whole number. Put nothing else in this space.}

(23) ARE YOUR *{OR YOUR CUSTOMER'S, IF YOU ARE A FOREIGN SUPPLIER}* SPECIFICATIONS OR QUALIFICATION REQUIREMENTS FOR THE REQUESTED PRODUCT MORE DEMANDING OR TIGHTER THAN THE SPECIFICATIONS OR QUALIFICATION REQUIREMENTS FOR OTHER PRODUCTS?

(a) _____ No

(b) _____ Yes

(i) If "yes," state reason for each:

{Specification}

{Reason}

{State reason with specificity, but BRIEFLY. Put nothing else in this space.}

(24) DID YOU *{OR YOUR CUSTOMER, IF YOU ARE A FOREIGN SUPPLIER}* INVEST IN TOOLING THAT ALLOWED YOUR FOREIGN SUPPLIER *{OR YOU, IF YOU ARE A FOREIGN SUPPLIER}* TO MAKE THE REQUESTED PRODUCT? {Check one}:

(a) _____ No

(b) _____ Yes

(i) If "yes," please list the supplier, the tooling, and the cost:

{Supplier}

{Tooling}

{Cost}

{Please list firms by name. Describe tooling with specificity, but BRIEFLY. Give cost to nearest

U.S. dollar; reasonable estimates are acceptable. Put nothing else in this space.}

(25) SINCE 5 MARCH 2002, HAVE YOU *{OR YOUR CUSTOMER, IF YOU ARE A FOREIGN SUPPLIER}* MADE ANY EFFORT TO CONTACT AND SOURCE THE PRODUCT FROM DOMESTIC FIRMS? {Ensure that your answer corresponds with Q. 4 above}

(a) _____ No

(i) If “no,” give the reason {Check only one:}

(A) _____ We have previously tested the materials provided by all the domestic producers who might make this product and none qualified;

(B) _____ None of the domestic producers who might make this product advertised such a capability;

(C) _____ This product is so specialized that no domestic producers would make it;

(D) _____ The quantity of this product which we need is so small that no domestic producer could be enticed to make it;

(E) _____ Other {Give other reason below:}

{BRIEFLY state your other reason here. Put nothing else in this space.}

(b) _____ Yes

(i) If “yes,” please list the firm, give the date, and state the results:

{Firm}

{Date}

{Results}

{Please list firms by name. Please give dates in the form: “mo/da/yr” as in “07/04/1776.” Describe results with specificity, but BRIEFLY. Put nothing else in this space.}

(26) PLEASE PROVIDE HERE, WITHIN THE SPACE ALLOTTED, ANY OTHER INFORMATION RELEVANT TO YOUR REQUEST FOR EXCLUSION WHICH YOU MIGHT WISH TO CONVEY:

This image shows a blank sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

(27) THE NAME OF THE PRIMARY CONTACT PERSON FOR PROCESSING OF THIS REQUEST FOR EXCLUSION OF THIS PRODUCT IS:

(30) THE E-MAIL ADDRESS FOR THIS PERSON IS:

(31) THE TELEPHONE NUMBER FOR THIS PERSON IS:

(32) THE POSTAL ADDRESS FOR THIS PERSON IS:

{Please give complete address, including ZIP code (or country code if other than U.S.A.) Put nothing else in this space.}

(33) ALL PARTIES WISHING TO HAVE THEIR QUESTIONNAIRE
RESPONSES CONSIDERED **MUST** CERTIFY THE FOLLOWING:

I,

{Put your name here. Put nothing else in this space.}

of

{Put the name of your law firm here, or the name of your company if you are not represented by counsel. If you are a company claiming to "represent" another company, stop and contact Exclusion Support. Put nothing else in this space.}

counsel or representative to

{Put the name of the party you represent here. Repeat the name of your company if you are not represented by counsel. You may use summary titles of parties such as "Atlantis Tool Steel Producers Coalition," "Antarctic Alloy Flange Association," etc., if you represent the party under that name. Put nothing else in this space.}

certify that (1) I have read the entirety of this submission, and (2) based on the
information made available to me by

{Put the name of the party giving you information used to answer the questionnaire. You may use summary titles of parties such as "Atlantis Tool Steel Producers Coalition," "Antarctic Alloy Flange Association," etc., if you represent the party under that name. **NOTE: Foreign producers of the requested product must list their customers who provided such information in the answers above.** Put nothing else in this space.}

I have no reason to believe that this submission contains any material
misrepresentation or omission of fact.

{Sign here. Put nothing else in this space.}

{Addendum Section follows on next page.}

ADDENDUM SECTION

The Addendum Section is to be used ONLY to give more complete answers to questions within the questionnaire. If you find it necessary to make use of this section, please ensure that each entry commences with a reference to the question number and subheading to which you are adding information.

{Example:}

Question: 16 , Subheading: (b)(ii)(A)

BE BRIEF.

Question: _____ , Subheading: _____

Question: _____ , Subheading: _____

Question: _____ , Subheading: _____

BE BRIEF.

Question: _____, Subheading:_____

Question: _____, Subheading:_____

Question: _____, Subheading:_____

Question: _____, Subheading:_____
